National Financial Services (NFS), our clearing firm, has prepared this report for UnionBanc Investment Services LLC (UBIS) pursuant to U.S Securities and Exchange Commission Rule 606 which requires brokerdealer firms to make publicly available quarterly reports on their routing practices. This report provides information on the routing of "non-directed orders," which are any orders that the customer has not specifically instructed to be routed to a particular venue for execution. For these non-directed orders, UBIS transmits all customer orders to NFS, and NFS selects the execution venue on behalf of UBIS' customers. UBIS does not direct or Order Route any orders to any venues.

UBIS does not receive payment for order flow. Furthermore, UBIS does not have any material relationships with any of the venues identified below.

The report is divided into the following four sections: (1) Securities listed on the New York Stock Exchange; (2) Securities listed on the NASDAQ Stock Market; (3) Securities listed on NYSE, Amex or Regional Exchanges; and (4) Exchange listed options. For each section, this report identifies the venues most often selected by NFS and sets forth the percentage of various types of orders routed to the venues.

UNIONBANC INVESTMENT SERVICES - Held NMS Stocks and Options Order Routing Public Report

Generated on Wed Jan 05 2022 04:32:20 GMT-5 EST

4th Quarter, 2021

October 2021

S&P 500 Stocks Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non- Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non- Directed Orders	Total Non- Marketable Limit Orders	Marketable Limit Orders as % of Non- Directed Orders	Total Other Orders	Other Orders as % of Non- Directed Orders
1,320	100.00	1,050	79.55	47	3.56	174	13.18	49	3.71

/enue -	N

Venues

Venue - Non- directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non- Marketable Limit Orders	Non- Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Paid/Received	•		Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	for Non-	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	610	35.92	533	50.71	10	21.28	45	8.21	22	42.31	<u>0</u>	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	362	21.32	289	27.50	28	59.57	25	4.56	20	38.46	<u>0</u>	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	302	17.79	0	0.00	2	4.26	300	54.74	0	0.00	<u>0</u>	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	193	11.37	178	16.94	2	4.26	13	2.37	0	0.00	<u>0</u>	0	0	0	0	0	0	0
New York Stock Exchange(NYSE) Cboe EDGX US	105	6.18	0	0.00	0	0.00	105	19.16	0	0.00	<u>0</u>	0	0	0	0	0	0	0
Equities Exchange(EDGX)	54	3.18	0	0.00	0	0.00	54	9.85	0	0.00	<u>0</u>	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	33	1.94	31	2.95	0	0.00	2	0.36	0	0.00	<u>0</u>	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	21	1.24	15	1.43	2	4.26	4	0.73	0	0.00	<u>0</u>	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	15	0.88	5	0.48	3	6.38	0	0.00	7	13.46	<u>0</u>	0	0	0	0	0	0	0
Instinet BlockCross(BLKX)	3	0.18	0	0.00	0	0.00	0	0.00	3	5.77	<u>0</u>	0	0	0	0	0	0	0

October 2021

Non-S&P 500 Stocks

Summary

G1 Execution

Services, LLC(ETMM) Two Sigma

Securities, LLC(SOHO) Instinet

BlockCross(BLKX)

61

53

46

2.27

1.98

1.71

56

46

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	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non- Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non- Directed Orders	Total Non- Marketable Limit Orders	Non- Marketable Limit Orders as % of Non- Directed Orders	Total Other Orders	Other Orders as % of Non- Directed Orders									
2,169	100.00	1,742	80.31	74	3.41	302	13.92	51	2.35									
Venues														Net Payment		Net Pavment		
	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non- Marketable Limit Orders	Non- Marketable Limit Orders(%)	Other Orders	Other Orders(%)		Paid/Received		Paid/Received for Marketable Limit Orders(cents per hundred	Net Payment Paid/Received for Non- Marketable	Paid/Received for Non- Marketable Limit Orders(cents per hundred	Paid/Received for Other	Net Payment Paid/Received for Other Orders(cents per hundred shares)
	1,021	38.05	870	49.91	35	45.45	80	10.44	36	37.11	<u>0</u>	0	0	0	0	0	0	0
VIRTU Americas,	554	20.65	484	27.77	18	23.38	39	5.09	13	13.40	<u>0</u>	0	0	0	0	0	0	0
	302	11.26	275	15.78	12	15.58	15	1.96	0	0.00	<u>0</u>	0	0	0	0	0	0	0
•	240	8.95	0	0.00	2	2.60	238	31.07	0	0.00	<u>0</u>	0	0	0	0	0	0	0
Exchange(NYSE)	145	5.40	0	0.00	1	1.30	144	18.80	0	0.00	<u>0</u>	0	0	0	0	0	0	0
	109	4.06	0	0.00	0	0.00	109	14.23	0	0.00	<u>0</u>	0	0	0	0	0	0	0
= '	97	3.62	0	0.00	2	2.60	95	12.40	0	0.00	<u>0</u>	0	0	0	0	0	0	0
	Total Non-Directed Orders 2,169 Venues Venue - Non-directed Order Flow Citadel Securities LLC(CDRG) VIRTU Americas, LLC(NITE) JANE STREET CAPITAL, LLC(JNST) NASDAQ Execution Services, LLC(NSDQ) New York Stock Exchange(NYSE) Cboe EDGX US Equities Exchange(EDGX) NYSE Arca(ARCA)	Total Non-Directed Orders as % of All Orders 2,169 Venues Venue - Non-directed Order Flow Citadel Securities LLC(CDRG) VIRTU Americas, LLC(NITE) JANE STREET CAPITAL, LLC(JNST) NASDAQ Execution Services, LLC(NSDQ) New York Stock Exchange(NYSE) Cboe EDGX US Equities 1,021 1,021 302 145 145 145 109 Exchange(EDGX) NYSE Arca(ARCA) 97	Total Non-Directed Orders as % of All Orders 2,169 100.00 1,742 Venues Venue - Nondirected Order Flow directed Order Flow Orders Non-Directed Orders(%) Citadel Securities LLC(CDRG) VIRTU Americas, LLC(NITE) JANE STREET CAPITAL, LLC(JNST) NASDAQ Execution Services, LLC(NSDQ) New York Stock Exchange(NYSE) Cboe EDGX US Equities 1,021 38.05 1,021 38.05 20.65 11.26 240 8.95 New York Stock Exchange(NYSE) Cboe EDGX US Equities 109 4.06 Exchange(EDGX) NYSE Arca(ARCA) 97 3.62	Total Non-Directed Orders as % of All Orders 2,169 100.00 1,742 80.31 Venues Venue - Nondirected Orders Illa Securities LLC(CDRG) VIRTU Americas, LLC(NITE) JANE STREET CAPITAL, LLC(JNST) NASDAQ Execution Services, LLC(NSDQ) New York Stock Exchange(NYSE) Choe EDGX US Equities LQC(DRG) Non-Directed Order Sove Directed Orders 1,021 38.05 870 484 20.65 484 275 Nasda Securities 11.26 275 Nasda Securities 145 5.40 0 New York Stock Exchange(NYSE) Choe EDGX US Equities 109 4.06 0 0 New York Arca (ARCA) 97 3.62 0 0 0 0 0 0 0 0 0 0 0 0 0	Total Non-Directed Orders as % of All Orders 2,169 100.00 1,742 80.31 74 Venues Venue - Non-directed Orders 1,021 38.05 Market Orders Narket Orders Market Orde	Total Non-Directed Orders as % of All Orders 2,169 100.00 1,742 80.31 74 3.41 Venues Venue - Non-directed Orders 1LLC(CDRG) VIRTU Americas, LLC(NITE) JANE STREET CAPITAL, LLC(JINST) NASDAQ Execution Services, LLC(NSDQ) New York Stock Exchange(NYSE) Clobe EDGX US NYSE Arca(ARCA) Non-Directed Orders or Non-Directed Orders as % of Non-Directed as % of Non-Directed as % of Non-Directed as % of Non-Directed Orders as % of Non-Directed Orders as % of Non-Directed Orders where as % of Non-Directed Orders where as % of Non-Direct	Total Non-Directed Orders as % of All Orders as % of Non-Directed	Total Non-Directed Orders as % of All Orders 2,169 100.00 1,742 80.31 74 3.41 302 13.92 Venue - Non-directed Order Flow Creates as % of Non-Directed Orders (%) Parket Directed Orders	Total Non-Directed Orders as % of Non-Directed Orders as %	Total Non-Directed Orders	Total Non-Directed Orders as % of Non-Directed Orders as	Total Non-Directed Orders as % of Orders Total Market Orders Total Market Orders Total Marketable Orders Total Orders Total Marketable Orders Total Orders Tota	Total Non-Directed Orders as % of Orders as % of Orders as % of Orders as % of Non-Directed Orders as % of Non-D	Total Non-Directed Orders as % of Orders of Corders as % of Orders (and Interest of Orders) Total Mon-Directed Orders as % of Non-Directed Orders of	Table Nor-Directed Orders as % of Order Order	Total Non-Directed Orders Total Non-Directed Orders Total Or	Total Non-Directed Orders and Workshold (Prince of Orders an	Tabla Non-Direct Profession Profession

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October 2021

LLC(MSCO)

Option Contracts Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non- Directed Orders	Total Non- Marketable Limit Orders	Non- Marketable Limit Orders as % of Non- Directed Orders	Total Other Orders	Other Orders as % of Non- Directed Orders									
70	100.00	17	24.29	5	7.14	47	67.14	1	1.43									
Venues																		
Venue - Non- directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non- Marketable Limit Orders	Non- Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	•	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	42	37.50	8	47.06	3	60.00	30	33.71	1	100.00	<u>0</u>	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	29	25.89	7	41.18	1	20.00	21	23.60	0	0.00	<u>0</u>	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX) Dash Financial	22	19.64	0	0.00	0	0.00	22	24.72	0	0.00	<u>0</u>	0	0	0	0	0	0	0
Technologies LLC(DASH) Morgan Stanley &	11	9.82	1	5.88	1	20.00	9	10.11	0	0.00	<u>0</u>	0	0	0	0	0	0	0
Company	8	7.14	1	5.88	0	0.00	7	7.87	0	0.00	<u>0</u>	0	0	0	0	0	0	0

November 2021

S&P 500 Stocks Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non- Directed Orders	Total Non- Marketable Limit Orders	Non- Marketable Limit Orders as % of Non- Directed Orders	Total Other Orders	Other Orders as % of Non- Directed Orders									
1,765	100.00	1,488	84.31	26	1.47	197	11.16	54	3.06									
Venues																		
Venue - Non- directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non- Marketable Limit Orders	Non- Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Paid/Received	•	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	for Non-		Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	840	39.70	756	50.84	12	41.38	43	7.92	29	50.88	<u>0</u>	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	436	20.60	384	25.82	10	34.48	20	3.68	22	38.60	<u>0</u>	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	300	14.18	0	0.00	3	10.34	297	54.70	0	0.00	<u>0</u>	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	262	12.38	247	16.61	3	10.34	12	2.21	0	0.00	<u>0</u>	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	100	4.73	0	0.00	1	3.45	99	18.23	0	0.00	<u>0</u>	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	66	3.12	0	0.00	0	0.00	66	12.15	0	0.00	<u>0</u>	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	51	2.41	49	3.30	0	0.00	2	0.37	0	0.00	<u>0</u>	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	42	1.98	38	2.56	0	0.00	3	0.55	1	1.75	<u>0</u>	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	16	0.76	13	0.87	0	0.00	1	0.18	2	3.51	<u>0</u>	0	0	0	0	0	0	0
Instinet BlockCross(BLKX)	3	0.14	0	0.00	0	0.00	0	0.00	3	5.26	<u>0</u>	0	0	0	0	0	0	0

November 2021

Non-S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non- Directed Orders	Narketable Limit Orders	Marketable Limit Orders as % of Non- Directed Orders	Total Non- Marketable Limit Orders	Non- Marketable Limit Orders as % of Non- Directed Orders	Total Other Orders	Other Orders as % of Non- Directed Orders									
3,110	100.00	2,602	83.67	60	1.93	392	12.60	56	1.80									
Venues																		
Venue - Non- directed Order Flow		Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non- Marketable Limit Orders	Non- Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Paid/Received	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	-
Citadel Securities LLC(CDRG)	1,424	40.06	1,286	49.50	27	43.55	76	9.66	35	32.41	<u>0</u>	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	775	21.80	690	26.56	18	29.03	49	6.23	18	16.67	<u>0</u>	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	503	14.15	475	18.28	9	14.52	19	2.41	0	0.00	<u>0</u>	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	228	6.41	0	0.00	1	1.61	227	28.84	0	0.00	<u>0</u>	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	180	5.06	0	0.00	0	0.00	180	22.87	0	0.00	<u>0</u>	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	128	3.60	0	0.00	0	0.00	128	16.26	0	0.00	<u>0</u>	0	0	0	0	0	0	0
NYSE Arca(ARCA)	83	2.33	0	0.00	1	1.61	82	10.42	0	0.00	<u>0</u>	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	75	2.11	66	2.54	2	3.23	7	0.89	0	0.00	<u>0</u>	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	62	1.74	54	2.08	2	3.23	6	0.76	0	0.00	<u>0</u>	0	0	0	0	0	0	0
Instinet BlockCross(BLKX)	52	1.46	0	0.00	0	0.00	0	0.00	52	48.15	<u>0</u>	0	0	0	0	0	0	0

November 2021

Option Contracts Summary

Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non- Directed Orders	Total Non- Marketable Limit Orders	Non- Marketable Limit Orders as % of Non- Directed Orders	Total Other Orders	Other Orders as % of Non- Directed Orders									
269	100.00	49	18.22	54	20.07	162	60.22	4	1.49									
Venues Venue - Non- directed Order Flow		Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non- Marketable Limit Orders	Non- Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Paid/Received	•	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred		for Non- Marketable Limit Orders(cents per hundred	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG) Wolverine	143	49.31	29	59.18	25	46.30	86	46.99	3	75.00	<u>0</u>	0	0	shares) 0	0	shares) 0	0	0
Execution Services LLC(WEXX) Susquehanna	58	20.00	1	2.04	1	1.85	56	30.60	0	0.00	<u>0</u>	0	0	0	0	0	0	0
Capital Group(SUSQ) Dash Financial	55	18.97	15	30.61	24	44.44	16	8.74	0	0.00	<u>0</u>	0	0	0	0	0	0	0
Technologies LLC(DASH) Morgan Stanley &	23	7.93	2	4.08	2	3.70	19	10.38	0	0.00	<u>0</u>	0	0	0	0	0	0	0
Company LLC(MSCO) Interactive Brokers	10	3.45	2	4.08	2	3.70	6	3.28	0	0.00	<u>0</u>	0	0	0	0	0	0	0
LLC(IBKR)	1	0.34	0	0.00	0	0.00	0	0.00	1	25.00	<u>0</u>	0	0	0	0	0	0	0

December 2021

S&P 500 Stocks Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non- Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non- Directed Orders	Total Non- Marketable Limit Orders	Non- Marketable Limit Orders as % of Non- Directed Orders	Total Other Orders	Other Orders as % of Non- Directed Orders									
1,730	100.00	1,420	82.08	95	5.49	192	11.10	23	1.33									
Venues																		
Venue - Non- directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non- Marketable Limit Orders	Non- Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Paid/Received		Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)		Net Payment Paid/Received for Other Orders(USD)	
Citadel Securities LLC(CDRG)	775	38.37	669	47.11	45	45.45	49	10.47	12	36.36	<u>0</u>	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	481	23.81	403	28.38	43	43.43	26	5.56	9	27.27	<u>0</u>	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	275	13.61	258	18.17	4	4.04	13	2.78	0	0.00	<u>0</u>	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	166	8.22	0	0.00	4	4.04	162	34.62	0	0.00	<u>0</u>	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	150	7.43	0	0.00	3	3.03	147	31.41	0	0.00	<u>0</u>	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM) Cboe EDGX US	58	2.87	53	3.73	0	0.00	5	1.07	0	0.00	<u>0</u>	0	0	0	0	0	0	0
Equities Exchange(EDGX)	55	2.72	0	0.00	0	0.00	55	11.75	0	0.00	<u>0</u>	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	25	1.24	21	1.48	0	0.00	4	0.85	0	0.00	<u>0</u>	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	22	1.09	16	1.13	0	0.00	4	0.85	2	6.06	<u>0</u>	0	0	0	0	0	0	0
Instinet BlockCross(BLKX)	10	0.50	0	0.00	0	0.00	0	0.00	10	30.30	<u>0</u>	0	0	0	0	0	0	0

December 2021

Non-S&P 500 Stocks

Summary

LLC(SOHO)

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	i otal Market	Market Orders as % of Non- Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non- Directed Orders	Total Non- Marketable Limit Orders	Non- Marketable Limit Orders as % of Non- Directed Orders	Total Other Orders	Other Orders as % of Non- Directed Orders									
2,566	100.00	2,055	80.09	88	3.43	378	14.73	45	1.75									
Venues																		
Venue - Non- directed Order Flow		Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non- Marketable Limit Orders	Non- Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Paid/Received	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)		Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	1,124	36.27	981	47.71	42	46.15	74	8.85	27	23.28	<u>0</u>	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	612	19.75	538	26.17	22	24.18	37	4.43	15	12.93	<u>0</u>	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	438	14.13	404	19.65	15	16.48	19	2.27	0	0.00	<u>0</u>	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ	747	9.42	0	0.00	2	2.20	290	34.69	0	0.00	<u>0</u>	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	163	5.26	0	0.00	2	2.20	161	19.26	0	0.00	<u>0</u>	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	134	4.32	0	0.00	0	0.00	134	16.03	0	0.00	<u>0</u>	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	79	2.55	71	3.45	4	4.40	4	0.48	0	0.00	<u>0</u>	0	0	0	0	0	0	0
NYSE Arca(ARCA)	74	2.39	0	0.00	1	1.10	73	8.73	0	0.00	<u>0</u>	0	0	0	0	0	0	0
Instinet BlockCross(BLKX) Two Sigma	71	2.29	0	0.00	0	0.00	0	0.00	71	61.21	<u>0</u>	0	0	0	0	0	0	0
Securities,	48	1.55	41	1.99	3	3.30	4	0.48	0	0.00	<u>0</u>	0	0	0	0	0	0	0

December 2021

Option Contracts Summary

LLC(MSCO)

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non- Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non- Directed Orders	Total Non- Marketable Limit Orders	Non- Marketable Limit Orders as % of Non- Directed Orders 78.75	Total Other Orders	Other Orders as % of Non- Directed Orders									
Venues	100.00	20	3.32	32	11.72	213	76.73	Ü	0.00									
Venue - Non- directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non- Marketable Limit Orders	Non- Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)		Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/Received for Non- Marketable Limit Orders(cents per hundred shares)		Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	141	50.18	17	65.38	21	65.63	103	46.19	0	0.00	<u>0</u>	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	69	24.56	0	0.00	1	3.13	68	30.49	0	0.00	<u>0</u>	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH) Susquehanna	37	13.17	0	0.00	0	0.00	37	16.59	0	0.00	<u>0</u>	0	0	0	0	0	0	0
Capital Group(SUSQ)	23	8.19	8	30.77	10	31.25	5	2.24	0	0.00	<u>0</u>	0	0	0	0	0	0	0
Morgan Stanley & Company	11	3.91	1	3.85	0	0.00	10	4.48	0	0.00	<u>0</u>	0	0	0	0	0	0	0